

09-24-04

Cap 2171  
Jhw

ATTORNEY DOCKET NO. 22499-68466

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:  
John Barthelow CLASSEN.



Application No.: 10/081,705

Group Art Unit: 2171

Filed: February 21, 2002

Examiner: TBA

Title: Computer Algorithms and Methods for Product Safety

Mail Stop AMENDMENT  
PTO  
P. O. Box 1450  
Alexandria, VA 22313

CERTIFICATE OF MAILING VIA U.S. EXPRESS  
MAIL

Express Mail Label No. EV222872570US

Date of Deposit: September 23, 2004

Signature: Debra A. Coccia

Debra A. Coccia

**INFORMATION DISCLOSURE STATEMENT TRANSMITTAL LETTER**

Enclosed herewith is an Information Disclosure Statement pursuant to 37 CFR. §1.56 in connection with the above-identified application, which statement is being filed:

- ☐ Together with the present application.
- ☒ Before the first Office Action on the merits or three (3) months from the filing date of this application, whichever occurs last. [37 CFR § 1.97(b)]
- ☐ After the first Office Action on the merits, but before a Final Office Action under §1.113 or Notice of Allowance under §1.311, whichever occurs first. [37 CFR § 1.97(c)]
- ☐ After a Final Office Action under §1.113 or Notice of Allowance under §1.311, but prior to or with payment of the Issue Fee. [37 CFR § 1.97(d)]

Consistent with Applicant's obligations pursuant to 37 CFR §§1.97 and 1.98, the following requirements have been met:

- ☐ No separate requirements are needed.
- ☒ No additional fee is required.

☐ **Fee Under 37 CFR § 1.97(c)**

A check for the fee of \$180.00 for submission of an IDS under §1.97(c) as set forth in §1.17(p) is enclosed.

☐ **Petition Under 37 CFR § 1.97(d)**

This is a petition requesting consideration of an IDS under § 1.97(d). The petition fee of \$\_\_\_\_\_ set forth in § 1.17(i)(1) accompanies this petition.

☐ **Certification Under 37 CFR § 1.97(e)**

Each item of information contained in this statement was either:

- ☐ cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this statement; or
- ☐ not known to any individual designated in § 1.56(c) more than three months prior to the filing of this statement.

**Provision of Copies of References**

- ☒ Copies of cited references are enclosed.
- ☐ A partial translation of Reference No. \_\_\_\_ is enclosed herewith and forms a part hereof.
- ☐ A copy of the European Search Report from a corresponding or related EPO application is enclosed herewith.
- ☒ A copy of the International Search Report from a corresponding or related PCT application is enclosed herewith.

**Identification of Prior Application(s) In Which Listed Information Was Already Cited And For Which No Copies Are Submitted Or Need Be Submitted**

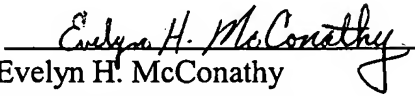
- ☐ This application relies, under 35 U.S.C. §120, on the earlier filing date of prior Application Serial No.60/356,668, filed on February 13, 2002. The following references were submitted to, and/or cited by, the Office in the prior application(s) and therefore are not required to be provided in this application:

**Fee Payment**

[X] The Commissioner is hereby authorized to charge any fees associated with this communication or credit any overpayment to Deposit Account No. 50-0979. A duplicate copy of this transmittal is attached.

Respectfully submitted,

Date: September 23, 2004

  
Evelyn H. McConathy  
Registration No. 35,279

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INFORMATION DISCLOSURE STATEMENT

This Information Disclosure Statement is submitted to the U.S. Patent and Trademark Office pursuant to Applicant's duty under 37 C.F.R. §1.56, accompanied by a Form PTO-1449 listing each reported reference. A complete copy of the listed references are enclosed.

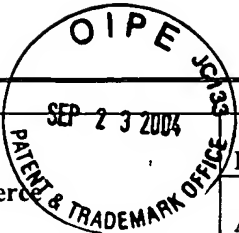
All of the aforesaid reported references are respectfully requested to be considered in connection with examination of this application, and to be made of record herein.

Respectfully submitted,

Date: September 23, 2004

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Sheet 1 of 1		Form PTO-1449		DOCKET NO. 22499-68466		APPLN. NO. 10/081,705	
U.S. Department of Commerce				APPLICANT: John Barthelow CLASSEN			
Date Filed: <u>September 23, 2004</u>				FILING DATE: 02/21/2002		GROUP 2171	
<b>U.S. PATENT DOCUMENTS</b>							
Examiner Initial		Document Number	Date	Name	Class	Subclass	Filing Date if appropriate
	A	5,642,731	07/1997	KEHR			
	B	5,737,539	04/1998	Edelson, <i>et al.</i>			
	C	5,833,599	11/1998	Schrier, <i>et al.</i>			
	D	5,845,255	12/1998	Mayaud			
	E	5,876,926	03/1999	Beecham			
	F	5,908,383	06/1999	Brynjestad			
	G	5,970,463	10/1999	Cave, <i>et al.</i>			
	H	6,000,828	12/1999	Leet			
	I	6,112,182	08/2000	Akers, <i>et al.</i>			
	J	6,219,674	04/2001	Classen			
	K	6,584,472	06/2003	Classen			
	L	20020138305	09/2000	Watanabe, <i>et al.</i>			
<b>FOREIGN PATENT DOCUMENTS</b>							
		Document Number	Date	Country	Class	Subclass	Translation Yes/No/Abstract
<b>OTHER DOCUMENT(S) (Including Author, Title, Date, Pertinent Pages, etc.)</b>							
	1.	Bradbury, D., "A Bitter Pill to Swallow," <i>Computing</i> 34-35 (1995).					
	2.	R.T. Chen, et al., "Vaccine Safety Datalink Project: A New Tool For Improving Vaccine Safety Monitoring in the United States," <i>Pediatrics</i> , 99:[6] 765-773, 1997.					
	3.	DeStefano, et al., "Timing of Hepatitis B Vaccination and Risk of Insulin-Dependent Diabetes Mellites," <i>Pharma. And Drug Safety</i> 6[2] (1997).					
<div style="display: flex; justify-content: space-between;"> <span><b>Examiner Signature:</b></span> <span><b>Date Considered:</b></span> </div>							

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered.  
 Include copy of this form with next communication to applicant(s).  
 PTO-1449.doc